

Current report number 7/2024

Date:

March 14, 2024

Subject:

Entry in the National Court Register of the change in the function of Mrs. Hanna Kijanowska in the Management Board of Caspar Asset Management S.A. from a member of the Management Board to the President of the Management Board of Caspar Asset Management S.A.

Legal basis:

Art. 56(1)(2) of the Public Offering Act – current and periodic information.

Content of the report:

The Management Board of Caspar Asset Management Spółka Akcyjna based in Poznań (Company, Issuer), in relation to the current report no. 19/2023 dated 15 June 2023 and the correction of the current report no. 19/2023 dated 16 June 2023 concerning the composition of the Company's Management Board for a new term of office, and the current report no. 2/2024 dated 5 January 2024 concerning the consent of Financial Supervision Authority to the appointment of Hanna Kijanowska to the position of the President of the Management Board, hereby informs you that on 13 March 2024 the District Court Poznań-Nowe Miasto i Wilda in Poznań, 8th Economic Division of the National Court Registry entered the amended data of the Company concerning the change of Ms Hanna Kijanowska's role in the Management Board of Caspar Asset Management S.A. from a member of the Management Board to the President of the Management Board of Caspar Asset Management S.A.

Issuer's Management Board encloses also current information about the educational background, qualifications and previously held positions together with the career path description of the persons sitting on the Management Board of Caspar Asset Management S.A. and states that:

- none of Company's Management Board members participates in any enterprise competing with the Company;
- none of Company's Management Board members has been entered into Insolvent Debtor Register, kept under the National Court Register Act.



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Legal basis: S. 56(1)(2) of the Act dated 29 July 2005 on Public Offering and Conditions Governing the Introduction of Financial Instruments to Organised Trading and Public Companies in relation to § 5(4 and 5) of Finance Minister's Regulation dated 29 March 2018 on current and periodical reporting by issuers of securities, the conditions under which the information legally required by the law of a non-member country may be deemed equivalent.

Hanna Kijanowska

1. Name, surname, position held or functions performed within the Issuer and the date of expiry of the term of office for which the person was appointed:

Name: Hanna Kijanowska

Function: President of the Management Board, Legal Counsel, Operations Director

Date of expiry of the 5-year term of office of the Management Board: the term of office expires on 31 December 2028.

2. Description of qualifications and professional experience:

She is a graduate of the Adam Mickiewicz University in Poznań, where she graduated from Law (2004–2009) and Management and Marketing (2003–2008). She completed legal adviser training in the period from 2012 to 2015, where after passing the legal adviser exam, she was entered on the list of Legal Advisors of the District Chamber of Legal Advisors in Poznań (July 2015). She specialises in civil, commercial and capital markets law. She has been associated with the capital market since January 2010, when she started cooperation with Caspar Asset Management S.A. as a lawyer, and then from June 2010 also as a Supervision Inspector (until December 2014). In February 2016, she was appointed as the Company's independent proxy and held this position until February 2017. Since 2014, she has also been cooperating in the field of legal advice with Caspar Towarzystwo Funduszy Inwestycyjnych S.A., and since November 2016 she has also been an independent proxy there. Currently, she is employed at Caspar Asset Management S.A. as a legal adviser and Operations Director, and since February 2017 she has been a Member of the Management Board of the Company, next the Vice-President of the Management Board since 2019, Member of the Management Board acting as President of the Management Board since June 2023 and President of the Board since January 2024, after Approval by the Polish Financial Supervision Authority of the appointment of Hanna Kijanowska as President of the Management Board of Caspar Asset Management S.A. She supervises operational activities, in particular the legal, operational, anti-money laundering and from March 2024 also sales activity. She participated in numerous training courses on capital markets and issues related to the activities of brokerage houses. During the work on the positions and completed trainings, she also acquired knowledge and experience in the field of risk management, in particular operational risk, internal control, supervision of compliance with the law, issues related to capital adequacy, and financial and capital planning, as well as financial and management accounting.

3. Activities performed by a given person outside the Issuer, when these activities are of significant importance to the Issuer:

Not applicable.

4. Commercial law companies in which, for at least the last three years, a given person was a member of management or supervisory bodies or a partner, with an indication of whether the given person still performs functions in the bodies or is still a partner:

Not applicable.

5. Information on whether in the last five years the person has been convicted by a final judgement for offences referred to in art. 18 § 2 of the Code of Commercial Companies or offences specified in the Act on Trading, the Act on Offering or the Act of 26 October 2000 on Commodity Exchanges, or for analogous offences within the meaning of foreign law, and an indication whether in the last five years this person received a court prohibition to act as a member of management or supervisory bodies in commercial law companies:

Ms Kijanowska has not been convicted by a final judgement for the crime of fraud, and has not received a court ban on acting as a member of management or supervisory bodies in commercial law companies.

6. Details of all cases of bankruptcy, restructuring, receivership or liquidation, in the period of at least the last five years, in relation to entities in which the person served as a member of the management or supervisory body:

Not applicable.

7. Information on the conducted activity competitive to the activity of the Issuer and information whether the given person is a partner in a competitive civil or partnership company or a member of a body of a capital company or a member of a body of any competitive legal person:

Not applicable.

8. Information whether the person is listed in the register of insolvent debtors.

Ms Kijanowska is not listed in the register of insolvent debtors.

Krzysztof Jeske

1. Name, surname, position held or functions performed within the Issuer and the date of expiry of the term of office for which the person was appointed:

Name: Krzysztof Marek Jeske

Function: Vice President of the Management Board, member of the management board responsible for the risk management system

Date of expiry of the 5-year term of office of the Management Board: the term of office expires on 31 December 2028.

2. Description of qualifications and professional experience:

He is a graduate of the Poznań University of Economics (now the Poznań University of Economics), Faculty of Management, majoring in Management and Marketing, graduating in 1997. He gained experience in the investment products market through more than 13 years of work at WBK Group and then at Bank Zachodni WBK. He worked at WBK AIB Towarzystwo Funduszy Inwestycyjnych S.A., Wielkopolski Bank Kredytowy S.A. and Bank Zachodni WBK, where, among other things, he was responsible for the preparation of deposit products and investment products and for the process of offering them to clients. He also managed the overall operations of BZ WBK in the area of deposit products and investment products, as well as overseeing BZ WBK's activities as agent for BZ WBK Brokerage and serving on the Supervisory Board of BZ WBK Brokerage from 2005 to 2009. From February 2012 to June 14, 2023, he was Chairman of the Board of Directors of F-Trust S.A., where, among other things, he was responsible for the activities of the investment company's agent. From April 2017 to June 7, 2023, he served as Vice Chairman of the Management Board of Caspar Towarzystwo Funduszy Inwestycyjnych S.A. (the "Society") and, following approval by the Financial Supervision Authority, from August 2021, he served as a member of the Society's Board of Directors responsible for overseeing the investment fund company's risk management system. He has completed training in operational risk management, liquidity risk management and training related to topics on sustainable development in the financial sector.

3. Activities performed by a given person outside the Issuer, when these activities are of significant importance to the Issuer:

Mr. Krzysztof Jeske has served as a member of the Supervisory Board of F-Trust S.A. since June 14, 2023.

4. Commercial law companies in which, for at least the last three years, a given person was a member of management or supervisory bodies or a partner, with an indication of whether the given person still performs functions in the bodies or is still a partner:

Mr. Krzysztof Jeske has served as a member of the Supervisory Board of F-Trust S.A. since June 14, 2023.

5. Information on whether in the last five years the person has been convicted by a final judgement for offences referred to in art. 18 § 2 of the Code of Commercial Companies or offences specified in the Act on Trading, the Act on Offering or the Act of 26 October 2000 on Commodity Exchanges, or for analogous offences within the meaning of foreign law, and an indication whether in the last five years this person received a court prohibition to act as a member of management or supervisory bodies in commercial law companies:

Mr. Jeske has not been convicted by a final judgement for the crime of fraud, and has not received a court ban on acting as a member of management or supervisory bodies in commercial law companies.

6. Details of all cases of bankruptcy, restructuring, receivership or liquidation, in the period of at least the last five years, in relation to entities in which the person served as a member of the management or supervisory body;

Not applicable.

7. Information on the conducted activity competitive to the activity of the Issuer and information whether the given person is a partner in a competitive civil or partnership company or a member of a body of a capital company or a member of a body of any competitive legal person;

Not applicable.

8. Information whether the person is listed in the register of insolvent debtors.

Mr Jeske is not listed in the register of insolvent debtors.

Blazej Michał Bogdziewicz

1. Name, surname, position held or functions performed within the Issuer and the date of expiry of the term of office for which the person was appointed:

Name: Blazej Michał Bogdziewicz

Function: Vice President of the Management Board, Investment Director and Member of the Investment Committee in Caspar Asset Management S.A.

Date of expiry of the 5-year term of office of the Management Board: the term of office expires on 31 December 2028.

2. Description of qualifications and professional experience:

Mr Bogdziewicz graduated from the University of Economics in Poznań where he majored in Management and Marketing, specialisation: Finance and Accounting of Companies. In 2000 he was awarded a Master's degree by defending the M.A. thesis entitled 'Consolidation of the financial statements according to international regulations'. In the period 1997–1999, he cooperated with Wielkopolska Grupa Audytingowa W. Frąckowiak i Partnerzy (currently Grant Thornton Frąckowiak) as a financial analyst and an expert auditor assistant. Connected with the capital market since 2000, Mr Bogdziewicz gained extensive experience in investing in financial instruments and asset management, including both investment funds and portfolios of individual customers.

From 1 April 2000 to 31 December 2001, he was employed as a financial analyst in WBK AIB Towarzystwo Funduszy Inwestycyjnych S.A. From 1 January 2002, he worked as a financial analyst at BZ WBK AIB Asset Management S.A., where after he obtained a licence of an investment advisor no. 203 in 2003, on 1 October 2003, he took the position of an investment advisor, and on 1 April 2007 the position of the head of the stock market. As the manager of the stock market, he was responsible for investments in Central and South-East Europe. From 1 June 2006, he was simultaneously employed in BZ WBK AIB Towarzystwo Funduszy Inwestycyjnych S.A. in the position of an investment adviser. Until the end of March 2010, he worked in companies of BZ WBK AIB Towarzystwo Funduszy Inwestycyjnych and BZ WBK AIB Asset Management S.A. On 1 April 2010, he took the position of the Investment Director in Caspar Asset Management S.A. Mr Bogdziewicz is the holder of the Chartered Financial Analyst title granted by the CFA Institute. Since 2011, he has been a member of the Supervisory Board of F-Trust S.A. He is a shareholder of Caspar Asset Management S.A.

3. Activities performed by a given person outside the Issuer, when these activities are of significant importance to the Issuer:

Since 2011, he has been a member of the Supervisory Board in F-Trust S.A.

4. Commercial law companies in which, for at least the last three years, a given person was a member of management or supervisory bodies or a partner, with an indication of whether the given person still performs functions in the bodies or is still a partner:

Since 2011, he has been a member of the Supervisory Board in F-Trust S.A. He is a shareholder of Caspar Asset Management S.A.

5. Information on whether in the last five years the person has been convicted by a final judgement for offences referred to in art. 18 § 2 of the Code of Commercial Companies or offences specified in the Act on Trading, the Act on Offering or the Act of 26 October 2000 on Commodity Exchanges, or for analogous offences within the meaning of foreign law, and an indication whether in the last five years this person received a court prohibition to act as a member of management or supervisory bodies in commercial law companies:

Mr Bogdziewicz has not been convicted by a final judgement for the crime of fraud, and has not received a court ban on acting as a member of management or supervisory bodies in commercial law companies.

6. Details of all cases of bankruptcy, restructuring, receivership or liquidation, in the period of at least the last five years, in relation to entities in which the person served as a member of the management or supervisory body:

Not applicable.

7. Information on the conducted activity competitive to the activity of the issuer and information whether the given person is a partner in a competitive civil or partnership company or a member of a body of a capital company or a member of a body of any competitive legal person:

Not applicable.

8. Information whether the person is listed in the register of insolvent debtors.

Mr Bogdziewicz is not listed in the register of insolvent debtors.